

OPEN  
FEB 27 2004  
PATENT & TRADEMARK OFFICE  
hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
First Class Mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

2/25/04

Date

Michael H. Lanyay  
Buchanan Ingersoll, P.C.

## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Appln. No.** : 10/725,260  
**Applicants** : Richard J. Schaeffer, et al.  
**Filed** : December 1, 2003  
**Title** : PRINTER CONTROL AND DOCUMENT MANAGEMENT  
SYSTEM  
**Group Art Unit** :  
**Examiner** :  
**Docket No.** : 030672

### INFORMATION DISCLOSURE STATEMENT

Pittsburgh, Pennsylvania 15219

February 25, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is a copy of PTO Forms SB/08A and SB/08B listing the prior art made of record during prosecution of the parent application Serial No. 09/566,243, for consideration during prosecution of the above-captioned patent application filed on December 1, 2003. In accordance with 37 C.F.R. § 1.56, 1.97 and 1.98(d), a copy of the listed art is not provided since

they are of record in the parent application. Applicant hereby requests the Examiner to review and make an independent evaluation of the art.

This statement is made solely for the purpose of compliance with the above-identified rules and is not intended to be a substitute for an independent search by the Examiner and no representation of any such nature is made or intended by this statement.

In accordance with Section 1.97(b)(1), this statement is being submitted within three (3) months of the filing date for the application before the mailing date of the first Office Action of this application.

Also enclosed is a return postcard. Please date stamp and mail the postcard to acknowledge receipt of the above-mentioned correspondence.

Respectfully submitted,



Michael G. Panian  
Reg. No. 32,623

BUCHANAN INGERSOLL P.C.  
One Oxford Centre, 20th Floor  
301 Grant Street  
Pittsburgh, PA 15219

Dated: February 25, 2004

Attorney for Applicants



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	10/725,260
Filing Date	December 1, 2003
First Named Inventor	Richard J. Schaeffer
Art Unit	
Examiner Name	

Attorney Docket Number 030672

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,956,707	09-21-1999	Chu	
		US- 5,954,793	09-21-1999	Stutman et al.	
		US- 5,951,300	09-14-1999	Brown	
		US- 5,950,168	09-07-1999	Simborg et al.	
		US- 5,946,659	08-31-1999	Lancelot et al.	
		US- 5,940,829	08-17-1999	Tsuiki et al.	
		US- 6,336,135	01-2002	Niblett et al.	
		US- 6,043,898	03-2000	Jacobs, Norman A.	
		US- 6,266,150	07-2001	Brossman et al.	
		US- 5,644,575	07-1997	McDaniel, David W.	
		US- 6,038,668	03-2000	Chipman et al.	
		US- 5,537,408	07-1996	Branstad et al.	
		US- 6,434,526	08-2002	Cilurzo et al.	
		US- 6,356,863	03-2002	Sayle, Roger	
		US- 6,272,553	08-2001	Way et al.	
		US- 6,070,199	05-2000	Axtman et al.	
		US- 5,935,262	08-1999	Barrett et al.	
		US- 6,384,933	05-2002	McGinnis et al.	
		US- 5,323,393	06-1994	Barrett et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



FEB 27 2004

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-003

**Under the Paperwork Reduction Act**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sh

2

27

2

Attorney Docket Number

**Complete if Known**

Application Number	10/725,260
Filing Date	December 1, 2003
First Named Inventor	Richard J. Schaeffer
Art Unit	
Examiner Name	
Attorney Docket Number	030672

## NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.